

#3

FORMALITIES LETTER



OC000000005162256

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/544,121	04/06/2000	David A. Evans	940630-010-018

Blaney Harper Esq
Jones Day Reavis & Pogue
51 Louisiana Avenue NW
Washington, DC 20001-2113

Date Mailed: 06/07/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/11/2000 SDENB001 00000022 09544121

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130.00 0P



PATENT
Attorney Docket No.: 940630-010-018

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:)	
)	
Evans, et al.)	
)	Group Art Unit: 2771
Serial No.: 09/544,121)	
)	Examiner: Not Assigned
Filed: April 6, 2000)	
)	
For: METHOD AND APPARATUS)	
FOR INFORMATION MINING)	
AND FILTERING)	

CERTIFICATE OF MAILING

Assistant Commissioner for Patents
Washington, D.C. 20231

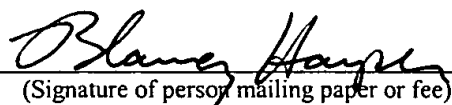
Sir:

I hereby certify that the following **attached** paper

- Notice to File Missing Parts
- Declaration/Power of Attorney
- Assignment
- Recordation Cover Sheet
- Fees (\$130.00; \$40.00)

is being deposited with the United States Postal Service with sufficient postage as First Class Mail under 37 CFR 1.8 on August 7, 2000 and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Blaney Harper
(Name of person mailing paper or fee)


(Signature of person mailing paper or fee)



Sector #
#3

PATENT
Attorney Docket No.: 940630-010-018

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:)
)
Evans, et al.)
) Group Art Unit: 2771
Serial No.: 09/544,121)
) Examiner: Not Assigned
Filed: April 6, 2000)
)
For: METHOD AND APPARATUS)
FOR INFORMATION MINING)
AND FILTERING)

TRANSMITTAL OF MISSING PARTS

Commissioner of Patents and Trademarks
Washington, D.C. 20231

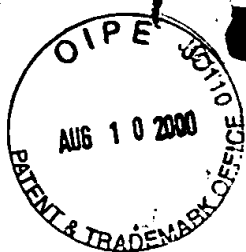
Dear Sir:

In response to the Notice to File Missing Parts ("Notice"), dated June 7, 2000, please find enclosed i) the copy of the Notice, ii) signed Declaration, iii) fee of \$130.00, iv) Assignment, and v) Recordation Form Cover Sheet and vi) fee of \$40.00.

Respectfully submitted,

By: Blaney Harper
Blaney Harper
(Reg. No. 33,897)

Dated: August 7, 2000



Receipt

PATENT
Attorney Docket No.: 940630-010-018

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:)
Evans, et al.)
Serial No.: 09/544,121)
Filed: April 6, 2000)
For: METHOD AND APPARATUS)
FOR INFORMATION MINING)
AND FILTERING)

Group Art Unit: 2771

Examiner: Not Assigned

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TC 2700 MAIL ROOM

REQUEST FOR CORRECTED FILING RECEIPT

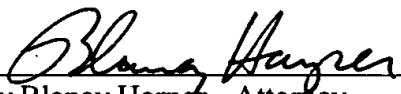
The Commissioner of Patents and Trademarks
Washington D.C., 20231

Sir:

The attached filing receipt is in error. Please correct it as indicated on the attached copy.

Specifically, insert the letter "n" after the word "informatio" in the title.

Respectfully submitted,

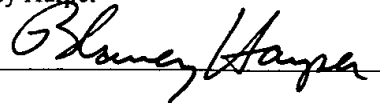

by Blaney Harper - Attorney
Reg. No. 33,897

Jones, Day, Reavis & Pogue
51 Louisiana Avenue, N.W.,
Washington D.C. 20001-2113
(202)879-7623

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail having sufficient postage in an envelope addressed to: Commissioner of Patents and Trademarks, Washington D.C. 20231 on August 7, 2000.

Name of Depositor: Blaney Harper

Signature of Depositor:



Date:

8/7/00

FILING RECEIPT

OC00000005162255

**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**Address: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/544,121	04/06/2000	2771	924	940630-010-018	11	33	3

Blaney Harper Esq
Jones Day Reavis & Pogue
51 Louisiana Avenue NW
Washington, DC 20001-2113



Date Mailed: 06/07/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

David A. Evans, Pittsburg, PA ;
Michael L. Horowitz, Pittsburg, PA ;
Christopher C. Lichti, Pittsburg, PA ;
Thomas P. Neuendorffer, Wexford, PA ;

Continuing Data as Claimed by Applicant**Foreign Applications**

If Required, Foreign Filing License Granted 06/06/2000

Title

Method and apparatus for information mining and filtering

Preliminary Class

707

Data entry by : MASON, JAMES

Team : OIPE

Date: 06/07/2000

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TC 2700 MAIL ROOM



FILE COPY

Bib Data Sheet

**UNITED STATES DEPARTMENT OF
COMMERCE**

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

SERIAL NUMBER 09/544,121	FILING DATE 04/06/2000 RULE -	CLASS 707	GROUP ART UNIT 2771	ATTORNEY DOCKET NO. 940630-010-018	
APPLICANTS David A. Evans, Pittsburg, PA ; Michael L. Horowitz, Pittsburg, PA ; Christopher C. Lichti, Pittsburg, PA ; Thomas P. Neuendorffer, Wexford, PA ;					
** CONTINUING DATA *****					
** FOREIGN APPLICATIONS *****					
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 06/06/2000					
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no		STATE OR COUNTRY PA	SHEETS DRAWING 11	TOTAL CLAIMS 33	INDEPENDENT CLAIMS 3
35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after met Allowance					
Verified and Acknowledged		Examiner's Signature		Initials	
ADDRESS Blaney Harper Esq Jones Day Reavis & Pogue 51 Louisiana Avenue NW Washington ,DC 20001-2113					
TITLE Method and apparatus for information mining and filtering					
FILING FEE RECEIVED 1054	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		